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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **WORLEY (et al.)**

Serial No.: **09/536,858**

Filed: **3/27/2000**

Attorney Docket: **0011-028C1**

Examiner: **Unknown**

Group Art Unit: **2775**

IC 2700 MAIL ROOM

Title: **Display With Multiplexed Pixels**

Assistant Commissioner for Patents

Washington, DC 20231

**TRANSMITTAL OF REQUEST FOR CORRECTED FILING RECEIPT**

The following documents are transmitted herewith for filing in the above-referenced patent application:

Request For Corrected Filing Receipt (1 page);

A red-lined copy of the Official Filing Receipt (2 pages);

A highlighted copy of the Utility Patent Application Transmittal (1 page); and

Return Receipt Postcard.

Date: 8/15/00

*Larry E. Henneman, Jr.*

Larry E. Henneman, Jr., Reg. No. 41,063

Henneman & Saunders

121 E. 11<sup>th</sup> Street

Tracy, CA 95376

Phone: (209) 833-8820

Fax: (209) 833-8825

**CERTIFICATE OF MAILING (37 CFR 1.8(A))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231.

Date: 8/15/00

*Larry E. Henneman, Jr.*

Larry E. Henneman, Jr.



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

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SEP 13 2000

TC 2700 MAIL ROOM

Applicant(s): WORLEY, (et al.)  
Serial No.: 09/536,858  
Filing Date: 3/27/2000  
Title: Display With Multiplexed Pixels

Docket No.: 0011-028C1  
Examiner: Unknown  
Group Art Unit: 2775

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: Customer Corrections

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The correspondence address appears incorrect. The correct address is:

Henneman & Saunders  
121 E. 11<sup>th</sup> Street  
Tracy, CA 95376

A copy of the Utility Patent Application Transmittal, as filed on 03/27/00, is also attached showing the correct correspondence address. Please record the above address as the official correspondence address for this case.

The correction is not necessitated by any error of Applicant, and no fee is due.

Respectfully submitted,

Dated: 8/15/00  
Henneman & Saunders  
121 E. 11<sup>th</sup> Street,  
Tracy, CA 95376  
Phone: (209) 833-8820  
Fax: (209) 833-8825

Larry E. Henneman, Jr.  
Larry E. Henneman, Jr.  
Reg. No. 41,063

**CERTIFICATE OF MAILING (37 CFR 1.8(A))**

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Date: 8/15/00

Larry E. Henneman, Jr.  
Larry E. Henneman, Jr.

## FILING RECEIPT



\*OC00000005154168\*


 UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office

 Address: ASSISTANT SECRETARY AND  
 COMMISSIONER OF PATENT AND TRADEMARKS  
 Washington, D.C. 20231

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 SEP 13 2000  
 TC 2700 MAIL ROOM

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/536,858	03/27/2000	2775	690	0011-028C1	33	19	3

Larry E Henneman Jr

~~Oppenheimer Wolff & Donnelly LLP~~~~10 Almaden Boulevard Suite 600~~~~San Jose, CA 95143~~
 Henneman + Saunders  
 121 E. 11th Street  
 Tracy, CA 95376

Date Mailed: 06/02/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 William Spencer Worley III, Half Moon Bay, CA ;  
 Edwin Lyle Hudson, Los Altos, CA ;  
 Wing Hong Chow, San Jose, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/075,472 05/08/1998 PAT 6,067,065

## Foreign Applications

If Required, Foreign Filing License Granted 06/02/2000

## Title

Display with multiplexed pixels

## Preliminary Class

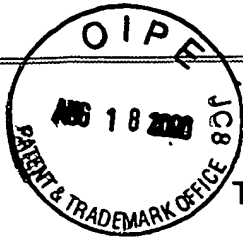
345

Data entry by : GARNETT, SANDRA

Team : OIPE

Date: 06/02/2000





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

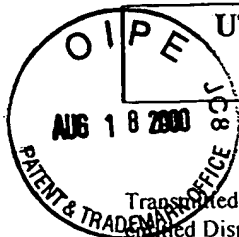
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
0011-028C1

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, W. Spencer Worley, III,  
entitled Controlled Display With Multiplexed Pixels, for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

(X) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)

of prior application No: 09/075,472 Filed on: 5/8/1998.

(X) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 56 Total Pages. (X) Drawing(s); 33 Total Sheets.

(X) Oath or Declaration:

( ) A Newly Executed Combined Declaration and Power of Attorney:

( ) Signed.

( ) Unsigned.

( ) Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

(X) A Check in the amount of \$ 690.00 for the Filing Fee.

( ) Preliminary Amendment.

( ) Information Disclosure Statement and Form PTO-1449.

( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Statement(s) of Status as a Small Entity.

( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	19	0	\$18.00	\$ 0.00
Independent Claims	3	0	\$78.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$690.00
Total Filing Fee				\$ 690.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Larry E. Henneman, Jr.

Larry E. Henneman, Jr., Reg. No. 41,063

Date: 3/27/00

Correspondence Address:

Henneman & Saunders  
121 E. 11th Street  
Tracy, CA 95376  
Phone: 209-833-8820  
Fax: 209-833-8825

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: Larry E. Henneman, Jr.

Typed Name: Larry E. Henneman, Jr.

Express Mail Label No.: EL580123336US

Date of Deposit: 3/27/2000

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